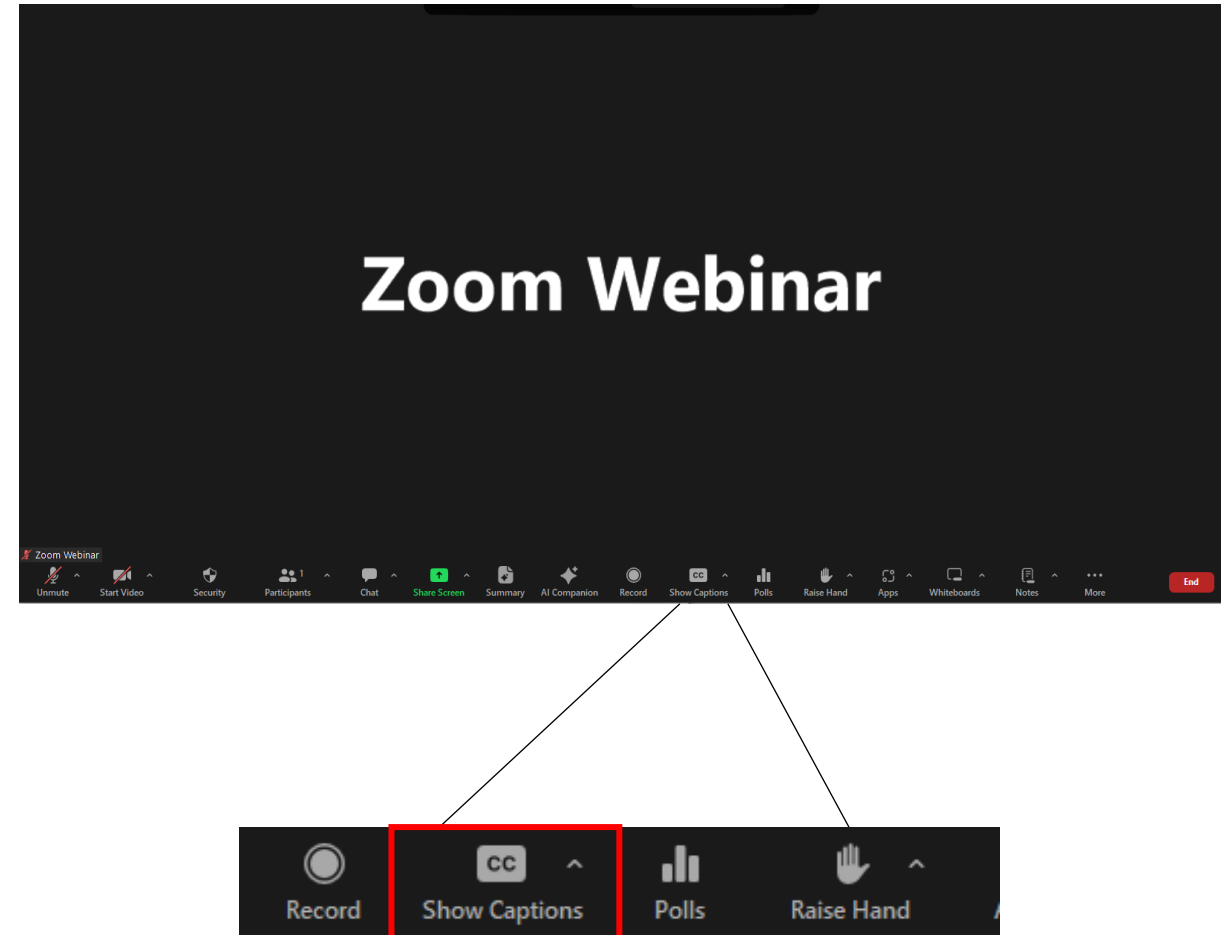


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# Preadmission Screening and Resident Review (PASRR) Annual Reports: Understanding Federal Reporting Requirements

July 16, 2025

# Learning Objectives

- Highlight the purpose and importance of PASRR;
- Understand the Social Security Act (SSA) requirements for the PASRR Annual Report;
- Learn Code of Federal Regulations (CFR) linkages to the annual report;
- Understand CMS technical assistance about statutory language requiring states to report on the number and disposition of nursing facility (NF) PASRR residents no longer needing NF services;
- Learn from reporting scenarios informed by state reports submitted to CMS in 2024; and
- Learn about state options for submitting key reporting details.

# Purposes of PASRR

- To determine through a screening and evaluation process whether an individual, regardless of payment source, considering admission to, or residing in, a Medicaid-certified NF requires the level of services provided by the NF and any specialized services during a NF stay for a PASRR condition:
  - Mental Illness (MI);
  - Intellectual Disability (ID); and/or
  - Related Condition (RC).
- To ensure that individuals with MI, ID, and/or RC receive care in an appropriate setting based on a physical and mental evaluation of their total needs.

42 CFR [483.102\(a\)](#), [483.112](#), [483.114\(a\)](#), [483.114\(b\)](#), [483.126](#), and [483.132](#)

# **PASRR Annual Report Basis and Supporting Requirements**

# PASRR Annual Report Requirement

Section 1919(e)(7)(C)(iv) of the SSA indicates:

“Each State shall report to the Secretary annually concerning the number and disposition of residents described in each of clauses (ii) and (iii).”

[Section 1919 of the Social Security Act](#)

# PASRR Annual Report Populations (1 of 2)

- Section 1919(e)(7)(C) of the SSA defines the two populations addressed in the PASRR Annual Report.
  - These populations according to the SSA are identified through a PASRR resident review:
    - The first population is identified in Section 1919(e)(7)(C)(ii); and
    - These residents which are identified through the PASRR resident review:
      - Do not require NF services but require specialized services for a PASRR condition; and
      - Have not continuously resided in a NF for at least 30 months before the date of the resident review determination.

# PASRR Annual Report Populations (2 of 2)

- Section 1919(e)(7)(C)(iii) of the SSA defines the second population to be included in the PASRR Annual Report.
  - These residents which are identified through the PASRR resident review:
    - Do not require NF services; and
    - Do not require specialized services for a PASRR condition.



# Required Actions for These Populations

- For both populations, the statute and implementing regulations require the state to take action in consultation with the resident and the family or legal representative and caregivers to:
  - Arrange for the safe and orderly discharge of the resident from the facility; and
  - Prepare and orient the resident for facility discharge.
- An additional requirement for the population that continues to require specialized services for the PASRR condition, the statute and implementing regulations specify the state must:
  - Provide for (or arrange for the provision of) such specialized services for MI, ID, or RC.

[Sections 1919\(e\)\(7\)\(C\)\(ii\)\(I-II\) and \(e\)\(7\)\(C\)\(iii\)\(I-II\)](#), [Section 1919\(e\)\(7\)\(C\)\(ii\)\(III\)](#), [42 CFR 483.118\(b\)\(1-2\)](#), [42 CFR 483.118\(c\)\(2\)\(i-ii\)](#), and [42 CFR 483.118\(c\)\(2\)\(iii\)](#)

# Other Related Requirements – Resident Review (1 of 2)

- A key aspect of PASRR is the completion of a Level II resident review when an individual experiences a significant change in condition;
- The NF must notify the state PASRR program of the need for a Level II resident review under these circumstances; and
- The Level II resident review determines, because of the resident’s physical and mental condition and total needs, whether:
  - NF level of services (LOS) are needed (including capturing the individual’s choice of setting); and
  - Specialized services for the PASRR condition are necessary.

[Section 1919\(e\)\(7\)\(B\)\(i-ii\)](#), [Section 1919\(e\)\(7\)\(B\)\(iii\)](#), [42 CFR 483.114](#), [42 CFR 483.114\(a\)](#), [483.114\(b\)](#), and [483.132](#)

# Other Related Requirements – Resident Review (2 of 2)

- Exempted Hospital Discharge (EHD):
  - When an individual admitted under an EHD stays beyond the 30-day period and the resident review must be completed by the 40<sup>th</sup> day; and
- Categorical Determinations with Time Limits:
  - When an individual admitted under a categorical determination stays beyond the prescribed number of days.
- 1135 Waiver:
  - At the sunset of a disaster or emergency waiver where the state was approved by CMS to delay PASRR Level I and Level II assessments for 30 days from admission.

[Section 1919\(e\)\(7\)\(A\)\(iii\)](#), [42 CFR 483.106\(b\)\(2\)](#), [42 CFR 483.130](#), and [Section 1135 of the Social Security Act](#)

# Other Related Requirements – Record Keeping and Tracking System

- Maintain records of evaluations and determinations, regardless of whether they are performed categorically or individually, in order to support PASRR determinations and actions and to protect the appeal rights of individuals subjected to PASRR
- Establish and maintain a tracking system for all individuals with MI or IID in NFs to ensure that appeals and future reviews are performed in accordance PASRR requirements.

[42 CFR 483.130\(o\)](#) and [42 CFR 483.130\(p\)](#)

# **PASRR Annual Report: Importance and Strategies**

# Importance of Annual Reporting Requirements

- Assuring compliance with federal statute and regulations, including timely NF discharges;
- Knowing the status of individuals who are ready for discharge and those who require specialized services following a NF stay;
- Supporting continuity of care when people must leave the NF because they no longer require NF services; and
- Recognizing the role of PASRR continuing beyond the NF with the arrangement or provision of specialized services.

[Section 1919\(e\)\(7\)\(C\)\(ii\)-\(iii\)](#); 42 CFR [483.130\(m\)\(5\)](#) and [483.130\(m\)\(6\)](#)

# Key Strategies for Capturing PASRR Annual Report Data (1 of 4)

- Communication channels between state mental health authority (SMHA) and state intellectual and developmental disabilities authority (SIDA) and Medicaid licensed NFs support tracking of individuals with PASRR conditions:
  - NFs must notify the state of the need for the Level II resident review when there is a change in condition; and
  - The state must communicate and coordinate with the NF when resident reviews are triggered through:
    - EHD;
    - Time Limited Categorical Determinations; or
    - 1135 Waiver expiration.

[42 CFR 483.130\(p\)](#), [42 CFR 483.20\(k\)\(4\)](#), [Section 1919\(e\)\(7\)\(A\)\(iii\)](#), [42 CFR 483.106\(b\)\(2\)](#), [42 CFR 483.130](#), and [Section 1135 of the Social Security Act](#)

# Key Strategies for Capturing PASRR Annual Report Data (2 of 4)

- Tracking systems and record keeping must account for all PASRR Level II resident reviews completed:
  - If specialized services are recommended, the evaluation identifies the specific intellectual disability (ID) or mental health (MH) services required to meet the evaluated individual's needs; and
  - The evaluation includes the basis for the report's conclusions.
- Tracking systems may include data that captures the discharge disposition for each individual found to no longer need NF LOS in accordance with the SSA:
  - This may include incorporating the status of any pending appeals or appeals outcomes (42 CFR Part 483, Subpart E).

[42 CFR 483.130\(o\)](#) and [483.130\(p\)](#), [42 CFR 483.128\(i\)\(5\)](#), [42 CFR 483.128\(i\)\(6\)](#), and [Section 1919\(e\)\(7\)\(C\)\(iv\)](#)



# Key Strategies for Capturing PASRR Annual Report Data (3 of 4)

- Specialized services – continuation or new – should be included as part of discharge tracking systems to assure:
  - Recommended specialized services are in place for the first of the two PASRR Annual Report populations;
    - The State must provide or arrange for the provision of specialized services, to all NF residents with MI or ID whose needs are such that continuous supervision, treatment and training by qualified MH or ID personnel is necessary.
  - Adequate documentation to inform any appeals process.

[42 CFR 483.130\(p\)](#), [Section 1919\(e\)\(7\)\(C\)\(ii\)](#), [42 CFR 483.120\(b\)](#), and [42 CFR 483.204](#)

# Key Strategies for Capturing PASRR Annual Report Data (4 of 4)

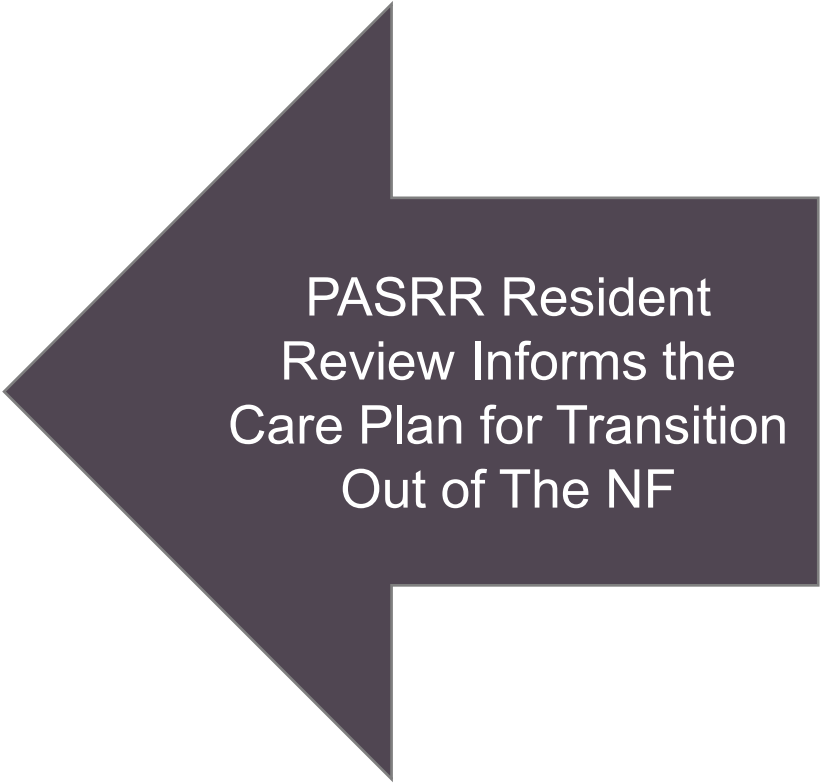
- Record retention:
  - The State PASRR system must maintain records of evaluations and determinations, regardless of whether they are performed categorically or individually, in order to support its determinations and actions and to protect the appeal rights of individuals subjected to PASRR.

[42 CFR 483.130\(o\)](#)

# Common Ground For Annual Report Populations



- ✓ Community-based Alternatives
- ✓ Rehabilitative Resources



[Section 1919\(e\)\(7\)\(B\); 42 CFR 483.114, 483.20\(e\)\(1\)](#)

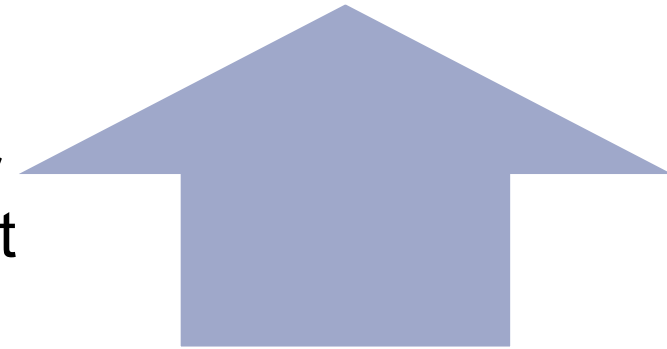
# The PASRR Annual Report – A Balanced Relationship Of Accountability



State PASRR tracking of all residents with MI/ID/RC, regardless of specialized service needs



Nursing facility notifications and coordination with PASRR entities when resident no longer needs NF services, or the individual is subject to a resident review



[Section 1919\(e\)\(7\)\(B\)\(iii\) of the Social Security Act](#) , and [Section 1135 of the Social Security Act](#); [42 CFR 483.106, 483.114, 483.130, 483.132](#)

# Example PASRR Annual Reports

# Example Report #1 Populations Reported

- Make sure you identify the populations your report includes:
  - ID and RC;
  - MH; or
  - All.

## Preadmission Screening and Resident Review Disposition Report

**Reporting State:** STATE B

**Reporting Period:** 1-1-23 to 12-31-23

**Submitted to:** **CMS**

Preadmission Screening and Resident Review  
Disposition Report

**Reporting State:** STATE A

**Reporting Period:** 1-1-23 to 12-31-23

**Submitted to:** **CMS**

**PASRR Populations Reported: All Populations**

# Example Report #2 Reporting the Numbers

- The federal requirement is to report total numbers for each of the required categories:
  - Include, in the aggregate, the number of individuals for each based on resident review determinations.

## Preadmission Screening and Resident Review Disposition Report

Reporting State: STATE C

Reporting Period: 1-1-23 to 12-31-23

Submitted to: CMS

PASRR Populations Reported: MH, ID, RC

As required to be submitted each February to CMS specified under FR November 30, 1992, the following provides information on the number and disposition of residents in the two (2) federally required mandatory discharge groups including:

- 1) short term residents who do not need nursing facility services but do require specialized services.
- 2) residents who need neither nursing facility services nor specialized services.

The following indicates the number of:

- 1) Residents determined not to need NF, to need specialized services and who resided in NF fewer than 30 months. **(1)**

Number of residents determined to need neither NF or specialized services;

- 2) Disposition of residents determined not to need NF, do need specialized services and who had resided in NF fewer than 30 months.
- 3) Disposition of NF residents determined to need neither NF nor specialized services. **(3)**

For this reporting period:

- 1) **One** resident was determined not to need NF; who does need specialized services and who resided in NF fewer than 30 months;
- 2) **Three** residents were determined to need neither NF nor specialized services.

# Example Report #3 Discharge Dispositions

- The federal requirement is to report the disposition of individuals for both categories:
  - Can be done by individual (but de-identified); or in the aggregate.

- 1) short term residents who do not need nursing facility services but do require specialized services.
- 2) residents who need neither nursing facility services nor specialized services.

The following indicates the number of:

- 1) Residents determined not to need NF, to need specialized services and who resided in NF fewer than 30 months **(1)**

Number of residents determined to need neither NF or specialized services;

- 2) Disposition of residents determined to need neither NF or specialized services and who had resided in NF fewer than 30 months.
- 3) Disposition of NF residents determined to need neither NF nor specialized services. **(3)**

For this reporting period:

- 1) One resident was determined not to need NF; who do need specialized services and who resided in NF fewer than 30 months;
- 2) Three residents were determined to need neither NF nor specialized services.





# Example Report

## #4 Data Alignment

- It is important for your aggregate numbers in each category to align with the disposition tables and include disposition for each person.

The following indicates the number of:

- Residents determined not to need NF, to need specialized services and who resided in NF fewer than 30 months; **(0)**

Number of residents determined to need neither NF or specialized services;

- Disposition of residents determined not to need NF, do need specialized services and who had resided in NF fewer than 30 months;
- Disposition of NF residents determined to need neither NF nor specialized services.

**(10)**

For this reporting period:

- Zero residents were determined not to need NF, who do need specialized services and who resided in NF fewer than 30 months;
- Ten residents were determined to need neither NF nor specialized services.

The disposition of those residents is as follows:

### Residents determined not to need NF, but do need Specialized Services and who resided in NF fewer than 30 months

Resident Review ID	Facility	Date of PASRR	Current Disposition
0000010	Nursing Facility 1	11/01/23	Discharged to group home

### Residents determined to need neither NF nor Specialized Services

Resident Review ID	Facility	Date of PASRR	Current Disposition
0000316	Nursing Facility 1	03/15/23	Discharged to assisted living
0002181	Nursing Facility 2	06/8/23	Discharged home
0000999	Nursing Facility 3	08/30/23	Discharged to family home

# Example Report #5 Assure Proper Discharge

The following indicates the number of:

- 1) Residents determined not to need NF, to need specialized services and who resided in NF fewer than 30 months; **(2)**  
Number of residents determined to need neither NF or specialized services;
- 2) Disposition of residents determined not to need NF, do need specialized services and who had resided in NF fewer than 30 months;
- 3) Disposition of NF residents determined to need neither NF nor specialized services. **(3)**

For this reporting period:

- 1) Zero residents were determined not to need NF, who do need specialized services and who resided in NF fewer than 30 months;
- 2) Ten residents were determined to need neither NF nor specialized services.

The disposition of those residents is as follows:

## **Residents determined not to need NF, but do need Specialized Services and who resided in NF fewer than 30 months**

Resident Review ID	Facility	Date of PASRR	Current Disposition
0000010	Nursing Facility 1	11/01/23	Discharged to group home
0005732	Nursing Facility 6	09/03/23	Discharged to psychiatric facility

## **Residents determined to need neither NF nor Specialized Services**

Resident Review ID	Facility	Date of PASRR	Current Disposition
0000316	Nursing Facility 1	03/15/23	Discharged to assisted living
0002181	Nursing Facility 2	06/8/23	Remained in NF 2
0000999	Nursing Facility 3	08/30/23	Transferred to NF 6

# Example Report #6 Data Validity

- It is important for PASRR program leadership to evaluate data validity if both categories indicate '0'.

The following indicates the number of:

- Residents determined not to need NF, to need specialized services and who resided in NF fewer than 30 months; **(0)**

Number of residents determined to need neither NF or specialized services;

- Disposition of residents determined not to need NF, do need specialized services and who had resided in NF fewer than 30 months;

- Disposition of NF residents determined to need neither NF nor specialized services. **(0)**

For this reporting period:

- Zero residents were determined not to need NF, who do need specialized services and who resided in NF fewer than 30 months;
- Zero residents were determined to need neither NF nor specialized services.

The disposition of those residents is as follows:

### Residents determined not to need NF, but do need Specialized Services and who resided in NF fewer than 30 months

Resident Review ID	Facility	Date of PASRR	Current Disposition
N/A			

### Residents determined to need neither NF nor Specialized Services

Resident Review ID	Facility	Date of PASRR	Current Disposition
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# PASRR Annual Report: In Summary

# PASRR Report Populations – Important Notes



The populations are connected to the PASRR resident review.



The populations include any PASRR nursing facility resident, regardless of payment source.

[Section 1919\(e\)\(7\)\(B\); 42 CFR 483.102\(a\)](#)

# PASRR Annual Report: Do!

- The SMA must submit the PASRR Annual Report (or be copied if submitted by the SMHA OR SIDA).
- The annual report should:
  - Cover the two required populations, with MI and ID aggregated or separate;
  - Indicate if it's for MI, ID/RC, or both;
  - Have accurate data that aligns between the two sections; and
  - Report the disposition of individuals in both categories, aggregate or individually.
- CMS encourages the SMA to examine the data to understand the number of resident reviews and disposition reported by each state authority.
- Submit your state's report(s) anytime within the calendar year.
- Send the report via email to: [PASRR\\_Institutional\\_Coverage@cms.hhs.gov](mailto:PASRR_Institutional_Coverage@cms.hhs.gov)

# PASRR Annual Report: Don't!

- It is important to **not** include any Protected Health Information (PHI) or Personally Identifiable Information (PII) in the report;
- Make sure that disposition data **does not** show individuals remaining in or transferring to other Medicaid-certified NFs, if there is no appeal or a determination has not been overturned through appeal; and
- Carefully assess whether all zeroes are accurate; **do not** miss the opportunity to assure that your data collection and analysis is appropriately identifying individuals for discharge via the resident review.





# Resources (1 of 3)

- Purpose of PASRR: [42 CFR 483.102\(a\)](#), [483.112](#), [483.114\(a\)](#), [483.114\(b\)](#), [483.126](#), and [483.132](#)
- PASRR Annual Report Requirement: [Section 1919 of the Social Security Act](#)
- Required Actions for PASRR Annual Report Populations: [Sections 1919\(e\)\(7\)\(C\)\(ii\)\(I-II\) and \(e\)\(7\)\(C\)\(iii\)\(I-II\) of the Social Security Act](#), [Section 1919\(e\)\(7\)\(C\)\(ii\)\(III\) of the Social Security Act](#), [1919\(e\)\(7\)\(C\)\(iv\) of the Social Security Act](#), [42 CFR 483.118\(b\)\(1-2\)](#), [42 CFR 483.118\(c\)\(2\)\(i-ii\)](#), and [42 CFR 483.118\(c\)\(2\)\(iii\)](#)

## Resources (2 of 3)

- Other Requirements Related to the PASRR Annual Report: [Section 1919\(e\)\(7\)\(B\)\(i-ii\) of the Social Security Act](#), [Section 1919\(e\)\(7\)\(B\)\(iii\) of the Social Security Act](#), [42 CFR 483.114](#) [42 CFR 483.114\(a\)](#), [483.114\(b\)](#), and [483.132](#), [Section 1919\(e\)\(7\)\(A\)\(iii\) of the Social Security Act](#), [42 CFR 483.106\(b\)\(2\)](#), [42 CFR 483.130](#), [Section 1135 of the Social Security Act](#), [42 CFR 483.130\(o\)](#) and [42 CFR 483.130\(p\)](#)
- Importance of Annual Reporting Requirements: [Section 1919\(e\)\(7\)\(C\)\(ii\)-\(iii\) of the Social Security Act](#); [42 CFR 483.130\(m\)\(5\)](#) and [483.130\(m\)\(6\)](#)
- Key Strategies for Capturing Annual Report Data: [42 CFR 483.130\(p\)](#), [42 CFR 483.20\(k\)\(4\)](#), [Section 1919\(e\)\(7\)\(A\)\(iii\) of the Social Security Act](#), [42 CFR 483.106\(b\)\(2\)](#), [42 CFR 483.130](#), [Section 1135 of the Social Security Act](#), [42 CFR 483.130\(o\)](#), [42 CFR 483.128\(i\)\(5\)](#), [42 CFR 483.128\(i\)\(6\)](#), [Section 1919\(e\)\(7\)\(C\)\(iv\) of the Social Security Act](#), [Section 1919\(e\)\(7\)\(C\)\(ii\) of the Social Security Act](#), [42 CFR 483.120\(b\)](#), and [42 CFR 483.204](#)

# Resources (3 of 3)

- PASRR as a Tool for Diversion/Transition for Annual Report Populations: [Section 1919\(e\)\(7\)\(B\) of the Social Security Act; 42 CFR 483.114, 483.20\(e\)\(1\)](#)
- The PASRR Annual Report: Collaboration Between the NF and State- A Balanced Relationship of Accountability: [Section 1919\(e\)\(7\)\(B\)\(iii\) of the Social Security Act, and Section 1135 of the Social Security Act, 42 CFR 483.106, 483.114, 483.130, 483.132](#)
- Considerations for PASRR Report Populations – Important Notes: [Section 1919\(e\)\(7\)\(B\) of the Social Security Act; 42 CFR 483.102\(a\)](#)

# Satisfaction Survey & CEU

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CMS PASRR Technical Assistance Center  
[www.pasrrassist.org](http://www.pasrrassist.org)

Teja Stokes, CMS PTAC Consultant [Teja.Stokes@pasrrassist.org](mailto:Teja.Stokes@pasrrassist.org)

Frank Tetrick, CMS PTAC Consultant [Frank.Tetrick@pasrrassist.org](mailto:Frank.Tetrick@pasrrassist.org)